



13. Compliance Agent's Statement

I, \_\_\_\_\_ authorize \_\_\_\_\_ to apply for a registration as sales personnel for the cemetery company listed in #7 and the cemetery listed in #9.

Name of Compliance Agent

Applicant's Name

\_\_\_\_\_  
Compliance Agent's Signature

14. Have you ever been subject to a disciplinary action imposed by any (including Virginia) local, state or national regulatory body?

No

Yes  If yes, please provide a certified copy of the final order, decree or case decision by a court or regulatory agency with lawful authority to issue such order, decree or case decision.

15. A. Have you ever been convicted in any jurisdiction of **any felony** or **any crime of moral turpitude**, there being no appeal pending therefrom or the time for appeal having elapsed? *Any plea of nolo contendere shall be considered a conviction for purposes of this application.*

No  Yes  If yes, list the information requested in #15.C.

B. Have you ever been convicted in any jurisdiction of **any misdemeanor within five years of the date this application is submitted**? *Any plea of nolo contendere shall be considered a conviction for purposes of this application.*

No  Yes  If yes, list the information requested in #15.C.

C. If you answered "yes" to either question #15.A. or #15.B., list the felony and/or misdemeanor conviction(s). Attach your original criminal history record and any other information you wish to have considered with this application (i.e., information on the status of incarceration, parole or probation; reference letters; documentation of rehabilitation; etc.). If necessary, you may attach a separate sheet of paper.

*Original criminal history records may be obtained by contacting the state police in the jurisdiction in which you were convicted. Virginia residents must complete a criminal history record request form in the presence of a notary public and mail it to the Department of State Police, Central Criminal Records Exchange, Post Office Box 27472, Midlothian, Virginia 23261-7472.*

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

16. I, the undersigned, certify that the foregoing statements and answers are true, and I have not suppressed any information that might affect the Board's decision to approve this application. I will notify the Department if I am subject to any disciplinary action or convicted of any felony or misdemeanor charges (in any jurisdiction) prior to receiving the requested license. I also certify that I understand, and have complied with, all the laws of Virginia related to cemetery company licensure under the provisions of Title 54.1, Chapter 23.1 of the *Code of Virginia* and the *Virginia Cemetery Board Regulations*.

\_\_\_\_\_  
Sales Personnel's Signature

\_\_\_\_\_  
Date

\* State law requires every applicant for a license, certificate, registration, or other authorization to engage in a business, trade, profession or occupation issued by the Commonwealth to provide a social security number or a control number issued by the Virginia Department of Motor Vehicles.

**APPLICATIONS AND SIGNATURES MUST BE ORIGINAL. FASCIMILE TRANSMISSIONS AND COPIES WILL NOT BE ACCEPTED.**