



12. Principals. List the name (first, middle initial, last, generation), title, address, and social security number of all company officers and directors (i.e., the sole proprietor, the partners of your partnership, the officers and/or directors of your association, the managers or members of your limited liability company, or the officers of your corporation).

Name	Title	Home Address	Social Security No. *
			- -
			- -
			- -
			- -

13. Provide a detailed description (including dates) of experience the trustee has as an individual trustee or an agent for a firm responsible for the management of a trust. Please attach supporting documentation (i.e., résumés, references, etc.).

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14. Has the trustee or any of the principals listed in #12 ever been subject to a disciplinary action imposed by any (including Virginia) local, state or national regulatory body?

No

Yes  If yes, please provide a certified copy of the final order, decree or case decision by a court or regulatory agency with lawful authority to issue such order, decree or case decision.

15. A. Has the trustee or any of the principals listed in #12 ever been convicted in any jurisdiction of **any felony** or **any crime of moral turpitude**, there being no appeal pending therefrom or the time for appeal having elapsed? *Any plea of nolo contendere shall be considered a conviction for purposes of this application.*

No

Yes  If yes, please provide the information requested in #15.C.

- B. Within the last five years, has the trustee or any of the principals listed in #12 been convicted in any jurisdiction of **any misdemeanor within five years of the date this application is submitted**? *Any plea of nolo contendere shall be considered a conviction for purposes of this application.*

No

Yes  If yes, please provide the information requested in #15.C.

- C. If you answered "yes" to either question #15.A. or #15.B., list the felony and/or misdemeanor conviction(s). Attach your original criminal history record and any other information you wish to have considered with this application (i.e., information on the status of incarceration, parole or probation; reference letters; documentation of rehabilitation; etc.). If necessary, you may attach a separate sheet of paper.

*Original criminal history records* may be obtained by contacting the state police in the jurisdiction in which you were convicted. Virginia residents must complete a criminal history record request form in the presence of a notary public and mail it to the Department of State Police, Central Criminal Records Exchange, Post Office Box 27472, Midlothian, Virginia 23261-7472.

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16. During the past ten years, has the trustee or any of the individuals listed in #12 had any outstanding judgments, outstanding tax obligations or defaults on bonds?

No

Yes  If yes, provide an explanation of the situation, including the beginning balance, current balance, and payment arrangements.

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17.

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Trustee's Signature

\_\_\_\_\_

Date

18. I, the undersigned, certify that the foregoing statements and answers are true, and I have not suppressed any information that might affect the Board's decision to approve this application. I certify that I understand, and have complied with, all the laws of Virginia related to Cemetery licensure under the provisions of Title 54.1, Chapter 23.1 of the *Code of Virginia* and the *Virginia Cemetery Board Regulations*.

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Signature of Officer, Director or Compliance Agent

\_\_\_\_\_

Date

\* State law requires every applicant for a license, certificate, registration, or other authorization to engage in a business, trade, profession or occupation issued by the Commonwealth to provide a social security number or a control number issued by the Virginia Department of Motor Vehicles.

**THE APPROPRIATE FIDELITY BOND FORM MUST ACCOMPANY THIS APPLICATION.**

**APPLICATIONS AND SIGNATURES MUST BE ORIGINAL. FASCIMILE TRANSMISSIONS AND COPIES WILL NOT BE ACCEPTED BY THE BOARD.**