This form is to be used by applicants in conjunction with your DPOR license, certification, or registration application. The information requested is in regard to your affirmative response(s) to the question regarding adverse financial history and should be included with your application package. If you did not report any adverse financial history on your application, this form is not required.

This form is to be submitted directly to DPOR Board Section at the address provided above.

APPLICANT INFORMATION

- Individual/Business Name:
  - Individual Legal Name (As it appears on your government issued ID or other legal documentation.)
  - Business/Sole Proprietor Name

- Profession:

- Provide one of the following identification number*:
  - Business - Federal Employee Identification Number (EIN):
  - Individual - Social Security or Virginia DMV Control Number:
  * Use the same identification number as used on file with DPOR from a previous application.

ADVERSE FINANCIAL HISTORY

**Applicant** - if you answered 'yes' on your license, certification or registration application for any outstanding/past-due debts (including child support arrearage); judgments; liens; past due unpaid bills, claims, or suits; outstanding tax obligations; defaults on bonds; or pending/past bankruptcies, **complete the following table and submit the required attachments for each item reported**.

<table>
<thead>
<tr>
<th>Type of debt</th>
<th>Date debt incurred/assessed</th>
<th>Location of debt (county/state)</th>
<th>Status</th>
<th>Comments/Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Required Attachments:**
Provide a certified copy of the final order, decree or case decisions by a court or regulatory agency with lawful authority to issue such order, decree or case decisions.

SIGNATURE

*I, the undersigned, certify that the foregoing statements and answers are true, and that I have not suppressed any information that might affect the Board's decision to approve my pending application.*

Signature __________________________ Print Name __________________________ Date __________

Title __________________________ (Businesses Only)

A406-01AFIN-v2 Department of Professional and Occupational Regulation/ADV FIN REPORT FORM 07/10/2020