DISCIPLINARY ACTION REPORTING FORM

This form is to be used by applicants in conjunction with your DPOR license, certification, or registration application. The information requested is in regard to your affirmative response(s) to the question regarding disciplinary actions and should be included with your application package.

This form may also be used by existing DPOR license holders to report a new disciplinary action.

If you did not report any disciplinary actions on your application or do not have any new disciplinary action to report, this form is not required.

This form is to be submitted directly to DPOR/Board Section at the address provided above.

APPLICANT/LICENSEE

- Individual/Business Name: □ New Applicant or □ Existing Licensee
- Individual Legal Name (As it appears on your government issued ID or other legal documentation.)
- Business/Sole Proprietor Name
- Profession:
- Virginia License Number (if applicable)
- Provide one of the following identification number*:
  - Business - Federal Employee Identification Number (EIN):
  - Individual - Social Security or Virginia DMV Control Number:

* Use the same identification number as used on file with DPOR from a previous application.

DISCIPLINARY ACTION:

Applicants - if you answered “yes” on your license, certification or registration application for being subject to disciplinary action by any (including Virginia) local, state or national regulatory body, complete the following table.

DOR Licensee Holders - if you are reporting a NEW disciplinary action by any (including Virginia) local, state or national regulatory body, complete the following table.

<table>
<thead>
<tr>
<th>Type of License, Certification, or Registration</th>
<th>License, Certification, or Registration No.</th>
<th>State/Jurisdiction</th>
<th>Reason for Disciplinary Action</th>
<th>Date of Disc. Action</th>
<th>Case Number</th>
<th>Decision (fine, suspension, revocation, etc.)</th>
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Provide a certified copy of the final order, decree or case decisions by a court or regulatory agency with lawful authority to issue such order, decree or case decisions.
SIGNATURE

I, the undersigned, certify that the foregoing statements and answers are true, and that I have not suppressed any information that might affect the Board’s decision to consider the new disciplinary action reported or to approve my pending application.

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<th>Print Name</th>
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Title

(Businesses Only)

➢ IF the applicant/licensee is affiliated with the Real Estate Board, a Broker’s signature is required:

Broker Signature

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